

QUALIFICATION DOCUMENTS

FOR

ENGINEERING, PROCUREMENT, CONSTRUCTION & COMMISSIONING (EPC) OF PETROLEUM COKE CALCINER PROJECT KUALA TANJUNG - NORTH SUMATERA, INDONESIA

No. : 5000000142
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PT INDONESIA ASAHAN ALUMINIUM (Persero)

PT PERTAMINA (Persero)

YEAR 2020

**QUALIFICATION DOCUMENTS FOR
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COMMISSIONING (EPC) OF
PETROLEUM COKE CALCINER PROJECT
KUALA TANJUNG - NORTH SUMATERA, INDONESIA**

A. General

1. Background

PT Indonesia Asahan Aluminium (Persero) and PT Pertamina (Persero) (hereinafter referred to as “Owner”) plans to hire EPC Contractor (hereinafter referred to as “Bidder”) for conducting Engineering, Procurement, Construction & Commissioning (EPC) works for a Petroleum Coke Calciner plant (hereinafter referred to as “Project”) with the capacity of producing up to 300,000 ton per annum (tpa) of Calcined Petroleum Coke (CPC) (directly or gradually), to a lump sum turn-key (LSTK) contract to supply the technology, detail design, engineering, procurement, manufacturing, transportation, construction, pre-commissioning, commissioning (including start-up and ramp-up) to full production and performance test for each facility and for overall Project, with certain budget and quality as value of works.

The Project will be built by 2 options:

- (i) **OPTION-1** : 300,000 tpa Petroleum Coke Calciner constructed directly;
- (ii) **OPTION-2** : 300,000 tpa Petroleum Coke Calciner constructed gradually starting from 100,000 tpa, expandable to 300,000 tpa.

Detail of plant and facilities inside the calciner can be seen on Attachment-1.

2. Objective

The objective of this qualification is to find qualified EPC Contractor for EPC works bidding of Project as required in this this Qualification Document.

3. Bidder of Qualification

This qualification can be followed by national and international companies in the form of legal entities as required by the qualification requirements.

4. Procurement Procedure

Procedure for procurement of EPC contractor of the Project shall refer to applicable Procurement System in INALUM.

B. Proposition

1. Prohibition of Corruption, Collusion and Nepotism (Korupsi, Kolusi, dan Nepotisme/KKN) and Fraud

1.1. Bidders and parties related to this procurement are obliged to adhere to ethical procurement with no action as follows:

- 1.1.1 Attempting to influence members of the Owner in any form and manner, to meet the wishes of the Bidder as opposed to the Qualification Documents, and/or legislation;
 - 1.1.2 Conspiracy with the other Bidder to set the qualifications, so that the reduce / prevent / minimize / eliminate competition and/or harm others;
 - 1.1.3 Create and/or submit documents and/or other information that is not correct to meet the requirements in Qualification Documents.
- 1.2. Bidders based on the Owner assessment that are proven to act as referred to article 1.1 shall be imposed the following matters:
 - 1.2.1 Administrative sanctions, such as aborted from the qualification or cancellation of passed qualification;
 - 1.2.2 A civil lawsuit;
 - 1.2.3 Reporting as crime to authorities.
 - 1.2.4 Imposition of sanctions by the Owner.
2. Prohibition of Conflicts of Interest
 - 1.1. The parties in carrying out the duties, functions and roles, are prohibited from owning/ perform multiple roles or affiliated.
 - 1.2. Dual role referred to article 1.1 above includes:
 - 2.2.1 A member of the Board of Directors or Board of Commissioners of a Business Entity must not be concurrently as a member of the Board of Directors or Board of Commissioners on other business entities who become Bidder in the qualification process;
 - 2.2.2 The executive board of employees of the Owner that follow the Procurement and compete with other companies, serves as authorized officer determines the qualification result, are prohibited as the Bidder of the qualification;
 - 1.3. Affiliation refer to article 1.1 is a relationship among the Bidders and between Bidder with the Owner which include:
 - 2.3.1 Family relationships by marriage and descent to the second degree, both horizontally and vertically;
 - 2.3.2 Members of the Owner, either directly or indirectly controlling or managing the companies participated in the qualification;
 - 2.3.3 Relationship between 2 (two) companies that are controlled directly or indirectly by the same party that is more than 50% (fifty percent) shareholder and/or one of its leaders alike.
3. Document Changes
 - 1.1. Before the deadline of submission of Qualification Documents, the Owner can change by setting Addendum for this documents, with notice to all Bidders.

1.2. Any Addendum set is an integral part of this document and is distributed to all the Bidders.

1.3. The Owner may extend the deadline of submission of Qualification Documents when there is an addendum of this documents, with notice to all Bidders.

4. One Qualification Document for Each Bidder

Each Bidder is only allowed to submit a Qualification Document.

5. Applicability of Qualification

This qualification is only valid for the work package as stated in the Qualification Documents.

6. Qualification Costs

1.1. Bidders are fully bear the cost to participate in this qualification

1.2. Owner is not responsible for any losses incurred by the Bidders

7. Owner's Right to Accept any Qualification Document & to Reject any/all Qualification Document

The Owner reserves the right to accept or reject any Qualification Document, and to annul the qualification process and reject all Qualification Document, at any time, without thereby incurring any liability to the affected Bidders or any obligation to inform the affected Bidders of the grounds for the Owner's action.

C. Requirements of Qualification

As a part of procurement process for hiring EPC Contractor as mentioned above, in this current step, the Bidder shall meet the following requirements on its qualification and experience:

1. The Bidder shall be a registered legitimate operating independent corporation whose business license shall expressly indicate such information and shall have a taxation registration certificate and organization code certificate;
2. The Bidder shall have a good reputation in bank credit and business, and have never been ordered to cease operation and to have its property taken over or frozen proved by company credit rating report carried out by an independent auditor;
3. The Bidder is not in a bankrupt or restructured condition proved by official statement;
4. The Bidder must be an EPC company, acknowledged domestically and internationally and has annual revenue of over USD 100 million in recent 3 (three) years and has experience of more than 3 (three) years in EPC work;
5. The Bidder has been successful in working as EPC contractor for implementing at least 2 (two) similar EPC contract projects in recent 10 (ten) years;
6. The Bidder must have experience of executing at least 1 (one) Petroleum Coke Calciner projects using Vertical Shaft Kiln (VSK) technology as an EPC contractor, in recent 10 (ten) years;
7. The Bidder must have experience in executing detail engineering or EPC work of Petroleum Coke Calciner project using VSK technology outside country of origin, in recent 10 (ten) years;

8. If the Bidder is foreign company (outside of Indonesia), the Bidder shall have a commitment to form joint venture or consortium with an Indonesia EPC Contractor which experienced in building cement or petrochemical or power plant or carbon project and has annual revenue of over USD 100 million in recent 3 (three) years and has experience of more than 3 (three) years.

D. Qualification Document

1. Language Used in Qualification Document

Qualification Documents and all written correspondence in the qualification process shall be conducted in English.

2. Qualification Document to be Submitted

Refer to the qualification and experience mentioned above and in order to standardize the incoming quotation, the Bidder is asked to submit Qualification Document with the following manner:

- 2.1 Corporate registration business license (include with its taxation registration certificate and organization code certificate) as required in article C.1 above;
- 2.2 Company credit rating report carried out by an independent auditor as required in article C.2 above;
- 2.3 Official statement as required in article C.3 above;
- 2.4 Auditee financial report in recent 3 (three) years as required in article C.4 above;
- 2.5 Company profile completed with company experience as EPC contractor as required in article C.5, C.6, and C.7;
- 2.6 Declaration on document trueness as attached on the Attachment-2;
- 2.7 Letter of commitment to form joint venture or consortium with an Indonesia Contractor as required in article C.8 above. Letter of commitment form can be referred to the Attachment-3;
- 2.8 Integrity Pact
 - 2.8.1 Integrity Pact contains a pledge to prevent and not to do and will report on collusion, corruption, and nepotism (KKN).
 - 2.8.2 Integrity Pact includes in the Qualification Form and become a unity of Qualification Documents.

Integrity pact form can be referred to the Attachment-4;

E. Qualification Process

1. Submission of Qualification Document

- 1.1 Deadline for Submission of Qualification Document

Qualification Document must be received by the Owner in form of softcopy or hardcopy not later than January 16, 2020.

Softcopy document (max. 30 MB per email) can be sent to:

ade_buandra@inalum.id

Hardcopy document can be sent to:

PT Indonesia Asahan Aluminium (Persero), P.O. Box I Kuala Tanjung – 21657
Kecamatan Sei Suka, Kabupaten Batu Bara, Sumatera Utara, Phone : (0622) 31311,
Fax : (0622) 31001

1.2 Withdrawal and Change of Qualification Documents

1.2.1 A Bidder may, withdraw, modify, or correct his Qualification Document after Qualification Document submission provided that the modification or withdrawal is received by the Owner prior to the deadline for submission of Qualification Document.

1.2.2 The notice for modification or withdrawal of any Qualification Document shall be delivered in accordance with article E.1.1, as appropriate.

1.2.3 No Qualification Document may be modified by a Bidder after the deadline for submission of Bid.

1.3 Late Submission of Qualification Documents

1.3.1 Any Qualification Document received by the Owner after the submission deadline for Qualification Document will be rejected and returned to the Bidder in a closed condition (cover not opened) accompanied by document receipt.

1.3.2 Qualification Document sent by post / courier service and received after the submission deadline for Qualification Document, the Owner will open the envelope to find out the name and address of Bidders, as well as notify the Bidder concerned to take the document back, accompanied by document receipt.

2. Evaluation of Qualification Document

2.1 Confidentiality of Process

Information concerning to evaluation of qualification should not be disclosed to the Bidders or other parties before the qualification result is announced

2.2 Evaluation

2.2.1 Evaluation of qualification is done using the assessment method of Pass and Fail.

2.2.2 Integrity Pact has to be completed and signed by the Bidder prior to evaluation.

3. Results of Qualification

4.1 Determination of Qualification Result

All Bidders who pass evaluation process will be included to the list of qualified by the Owner.

4.2 Announcement of Qualification Result

Qualification results after being determined by the Owner was submitted in writing to

each of the Bidders.

4.3 Delivery of Tender Document to the Bidder who Passed Qualifications

A Tender Document will be sent to the Bidder who have passed the qualification to enter the offer.

F. Attachment

1. Attachment-1 : Detail of Plant & Facilities inside the Petroleum Coke Calciner Project
2. Attachment-2 : Form Declaration on Document Trueness
3. Attachment-3 : Form Letter of commitment to form joint venture or consortium
4. Attachment-4 : Form Integrity Pact

Attachment-1 : Detail of Plant & Facilities inside the Petroleum Coke Calciner Project

Area	UNIT		REMARKS
1	Calcinations Production System		
	1.1	GPC Conveying System	
	1.2	Petroleum coke warehouse and crush	
	1.3	Petroleum coke blending	
	1.4	Petroleum coke calcination	
	1.5	CPC Conveying System	
2	Utilities and Auxiliary System		
	2.1	Demineralized water station	
	2.2	Exhaust-heat boiler house	
	2.3	Steam turbine house	
	2.4	Flue gas treatment of calcination	
	2.5	Power distribution substation	
	2.6	Comprehensive circulating water	
	2.7	Office building/Control-centre of plant	
	2.8	Refractory material warehouse	
	2.9	Comprehensive repair and spare parts warehouse	
3	General Plan and Transportation		
	3.1	Plant roads	
	3.2	Plant afforestation	
	3.3	Safety office	
	3.4	Comprehensive pipeline network	

Attachment-2 : Form Declaration on Document Trueness

DECLARATION ON DOCUMENT TRUENESS

I the undersigned:

Name :

Address of Company :

No. Phone :

Position in Company :

States with the real possibility that:

1. Whereas all the documents we give are true and correct.
2. If in the future, it is found that the documents we have provided are not correct, then we are willing to be penalized for not being allowed to take part in the auction at PT Indonesia Asahan Aluminium (Persero) within 2 (two) years.

Thus we do this statement in full with responsibility.

_____ [place], __ [date] _____ [month] 20__ [year]

Name of Company,

[Stamp duty of Rp.6000/Signature/Date & Seal of the Company]

(Full name of Authorized Company)

[position of Company]

Attachment-3 : Form Letter of commitment to form joint venture or consortium

COMMITMENT TO FORM JOINT VENTURE OR CONSORTIUM

I the undersigned:

Name :

Address of Company :

No. Phone :

Position in Company :

States that:

1. Willing to establish joint venture or consortium for engineering, procurement, construction, commissioning (EPC) works of 100,000 TPA (expandable to 300,000 TPA) Petroleum Coke Calciner Project with an Indonesia EPC Contractor which experienced in building cement or petrochemical or power plant or carbon project and has annual revenue of over USD 100 million in recent 3 (three) years and has experience of more than 3 (three) years.
2. If in the future, it is found that we are not commit with the above commitment, then we are willing to be penalized for not being allowed to take part in the tender at PT Indonesia Asahan Aluminium (Persero) within 2 (two) years.

Thus we do this statement in full with responsibility.

_____ [place], __ [date] _____ [month] 20__ [year]

Name of Company,

[Stamp duty of Rp.6000/Signature/Date & Seal of the Company]

(Full name of Authorized Companys)

[position of Company]

Attachment-4 : Form Integrity Pact

INTEGRITY PACT

I am the undersigned:

Name : _____ *[name of the legitimate representatives of business entities]*

ID No. : _____ *[filled with no. ID cards, driver's license or passport]*

Position : _____

Acting for and on behalf : PT _____ *[filled the Company names]*

within the framework of the procurement _____ [filled with name of work] in PT Indonesia Asahan Aluminium (Persero) hereby declare that:

will not make the practice of Corruption, Collusion and Nepotism;

will report to President Director of PT Indonesia Asahan Aluminium (Persero) if there are indications of this corruption in the procurement process;

- 1. will follow the procurement process in a clear, transparent, and professional to deliver the best work in accordance with the laws and regulations;*
- 2. when breaking the things stated in this INTEGRITY PACT, is willing to accept an administrative sanction, sanctioned, was sued in civil and/or reported offenses.*

_____ *[place],* __ *[date]* _____ *[month]* 20__ *[year]*

[Name of Company],

[signature and stamp of company],

[full name]